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PTO/SB/21 (6-98)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|--------------|
| Application Number | 09/905,432 |
| Filing Date | 07/13/2001 |
| First Named Inventor | Hayes et al. |
| Group Art Unit | 2635 |
| Examiner Name | |
| Attorney Docket Number | 81230.62US3 |

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Total Number of Pages in This Submission

5

ENCLOSURES (check all that apply)

- | | | |
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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): - Form 1449 - copies of 5 patents return postcard |
|--|---|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name
Gary R. Jarosik

Signature

Date

September 30, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: October 1, 2001

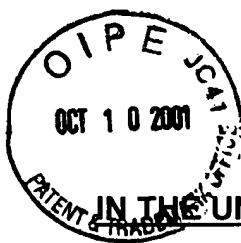
Typed or printed name
Lisa Lyle

Signature

Date

October 1, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hayes et al.)
Serial No.: 09/905,432) Examiner: not yet assigned
Filed: July 13, 2001) Group Art Unit: 2635
Title: SYSTEM AND METHOD FOR)
USING A HAND HELD DEVICE TO) Docket No.: 81230.62US3
DISPLAY A READABLE)
REPRESENTATION OF AN AUDIO)
TRACK)

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b)(1))

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, pursuant to 37 C.F.R. §1.56, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted, pursuant to 37 C.F.R. §1.97(b)(1), within three months of the filing date of the application; therefore, no fee is required.

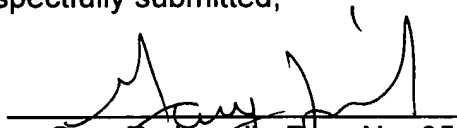
In accordance with 37 C.F.R. §1.98(2)(i), a copy of each U.S. and foreign patent listed on Form 1449 is enclosed herewith.

In accordance with 37 C.F.R. §1.104, no representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

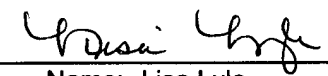
Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: October 1, 2001

By: 
Gary R. Jarosik, Reg. No. 35,906
ALTHEIMER & GRAY
10 S. Wacker Drive/Suite 4000
Chicago, Illinois 60606
(312) 715-4000

Certificate of Mailing: The undersigned hereby certifies that this document and its enclosures are being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 1st day of October, 2001.

By: 
Name: Lisa Lyle